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## Translational studies for target-based drugs

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**Abstract** The biological background for the clinical and prognostic heterogeneity among tumors within the same histological subgroup is due to individual variations in the biology of tumors. The number of investigations looking at the application of novel technologies within the setting of clinical trials is increasing. The most promising way to improve cancer treatment is to build clinical research strategies on intricate biological evidence. New genomic technologies have been developed over recent years. These techniques are able to analyze thousands of genes and their expression profiles simultaneously. The purpose of this approach is to discover new cancer biomarkers, to improve diagnosis, predict clinical outcomes of disease and response to treatment, and to select new targets for novel agents with innovative mechanisms of action. Gene expression profiles are also used to assist in selecting biomarkers of pharmacodynamic effects of drugs in the clinical setting. Biomarker monitoring in surrogate tissues may allow researchers to assess “proof of principle” of new treatments. Clinical studies of biomarkers monitoring toxicity profiles have also been done. Such pharmacodynamic markers usually respond to treatment earlier than clinical re-

sponse, and as such may be useful predictors of efficacy. Epidermal growth factor receptor (EGFR) mutation in lung cancer tissues is a strong predictive biomarker for EGFR-targeted protein tyrosine kinase inhibitors. Monitoring of EGFR mutation has been broadly performed in retrospective and prospective clinical studies. However, global standardization for the assay system is essential for such molecular correlative studies. A more sensitive assay for EGFR mutation is now under evaluation for small biopsy samples. Microdissection for tumor samples is also useful for the sensitive detection of EGFR mutation. Novel approaches for the detection of EGFR mutation in other clinical samples such as cytology, pleural effusion and circulating tumor cells are ongoing.

**Keywords** Biomarker · Proof of principle · Pharmacodynamic marker · EGFR mutation

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### Correlative studies at the National Cancer Center Hospital

Molecular correlative studies are essential for the development of anticancer molecular-targeted drugs. One of the major purposes of a correlative study is “proof of principle” (POP). However, clinical POP studies for small molecules are often more difficult to complete than those for antibodies.

Since 2001, the National Cancer Center Hospital (Tokyo, Japan) has been operating as a laboratory for translational studies to develop molecular correlative studies. The laboratory members include medical oncologists, basic researchers, CRC research fellows, invited researchers from abroad, technicians and statisticians. The laboratory is located next to the phase I wards in the hospital, enabling more than ten molecular correlative studies to be simultaneously performed. New clinical samples can be quickly obtained from patients (including outpatients), prepared for storage and stored in the laboratory. The medical doctors

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**Table 1** Classification of biomarkers and their goals

Biomarker	Goal
Diagnostic markers	
Prognostic markers	
Predictive markers (patient selection)	Selection of patients most likely to benefit from given treatment
Pharmacodynamic markers	Dose finding and schedule
Response and efficacy markers	To measure or infer patient benefit/relate patient benefit to target inhibition
Toxicity prediction markers	

working in the laboratory are often research fellows supported by government grants as these individuals are often interested in this kind of research.

The location of the laboratory also gives frequent opportunities to medical oncologists to communicate with researchers. The significance of study endpoints, study design, technical and statistical information and feasibility are often discussed, especially among young medical oncologists and researchers. As a result, young oncologists and researchers often collaborate in the proposal of new molecular correlative studies.

The major activities of the laboratory are pharmacokinetics and pharmacodynamics studies for early clinical trials (phase I–II) and reverse translational studies. Essentially, “biomarker monitoring” using various biological technologies in these clinical studies are preformed. The selection and validation of biomarkers is a major endpoint for molecular correlative studies. Biomarkers are defined as described in Table 1. Tissue banking and quality control are two of the most important activities. Part of clinical sample testing is performed in collaboration with the Contract Research Organization (CRO) (Fig. 1).

### Gene expression profiles

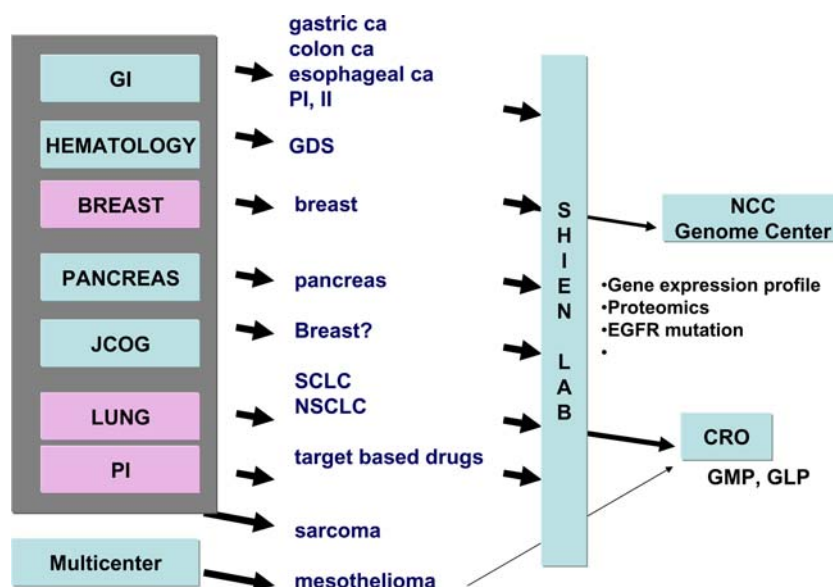
Gene expression array (DNA chips) has been widely used in clinical studies to predict response and in POP

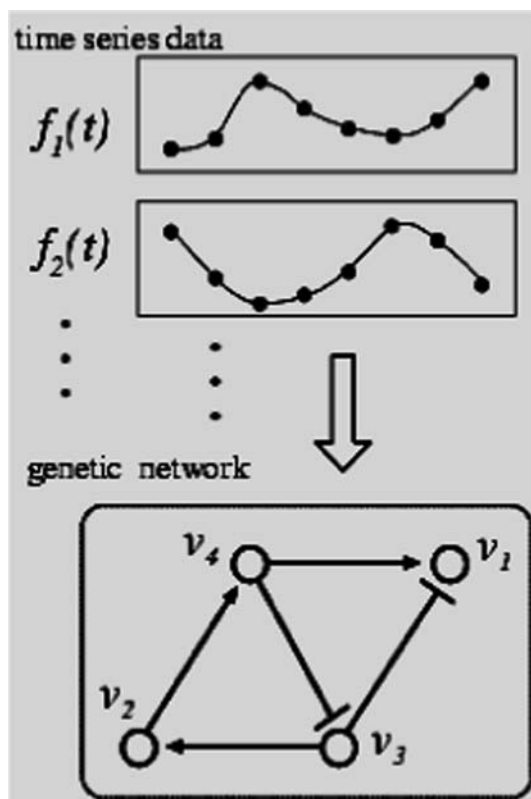
studies [3]. Many kinds of DNA chip are now available. Oligonucleotide arrays containing > 40,000 genes have recently become popular. These chips can be used differentially depending on the requirements. Before the clinical use, however, an array’s quality (linearity and reproducibility) should be determined in preclinical studies. At the National Cancer Center Hospital, the quality of each array is evaluated and expressed as the Pearson’s product-moment coefficient of correlation. Based on the validated quality of the cDNA, protocols based on “experienced designs” are then established.

In clinical settings, sample quality and protocol feasibility are often major limitations in the design of new studies. To maintain the quality of clinical samples, a system for sample flow has been established. First, purity of the nucleotides must be carefully examined. Purification methods largely depend on the tumor types. For example, brain tumors contain large amounts of carbohydrate chains, lung cancer samples are sometimes very hard, and breast cancer biopsy samples are lipid rich. These sample characteristics influence the purification quality and efficiency.

After the gene expression profiles have been obtained for each sample, the data are analyzed by standardization, clustering, statistical analysis and validation methods. Statistical and biological validation are essential. Ideally, clinical cross-validation studies should be performed for independent clinical studies. On the other

**Fig. 1** Flow of clinical samples in molecular correlative studies at the National Cancer Center Hospital. (GI gastrointestinal, JCOG Japan Clinical Oncology Group, PI clinical phase I study, PII clinical phase II study, GDS gene delivery system, SCLC small cell lung cancer, NSCLC non-small cell lung cancer, NCC National Cancer Center, CRO Contract Research Organization, GMP Good Manufacturing Practice)





**Fig. 2** Network analysis to determine transcriptional pathway and signal transduction pathway modulated by transcriptional regulators and multitarget tyrosine kinase inhibitors using gene expression profiling dataset

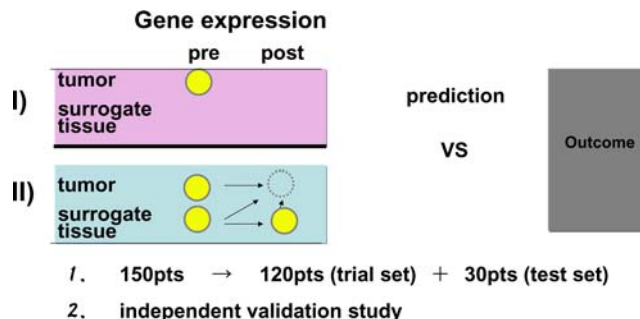
hand, biomarkers can be validated in the same clinical study by the “leave-one-out” method. The endpoint of these correlative studies is usually the selection of biomarkers for predicting response or toxicity. For such endpoints, the quality of the clinical study itself is also very important.

We have also used other endpoints in early clinical studies, such as comparing clinical samples obtained before and after the treatment. Analysis of gene alterations after treatment can be utilized to reveal pharmacodynamic effects. We have completed such correlative studies as part of a clinical assessment of multitarget tyrosine kinase inhibitors (TKI), farnesyl transferase inhibitor, and cytotoxic drugs [7].

For biological confirmation, we usually perform real-time RT-PCR and immunostaining. However, we recently discovered that “pathway analysis” is a powerful method for improving our understanding of the alteration of genes related to biological signal transduction pathways. To analyze transcription factors, “network analysis” can be used to identify their signaling pathways (Fig. 2).

### Toxicogenomic project for breast cancer

As an approach of gene expression profiling in clinical samples, we monitored gene expression in breast cancer



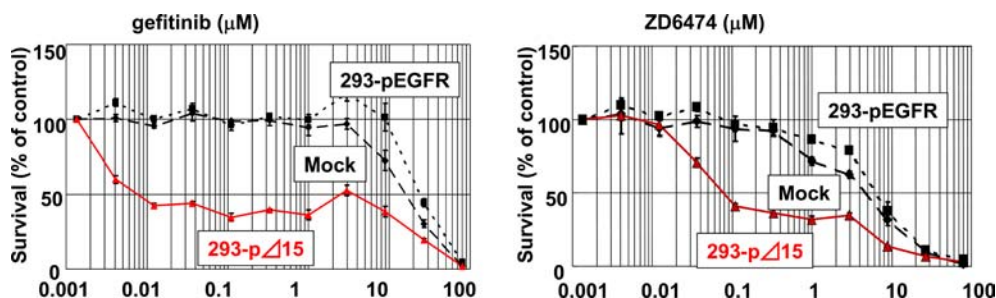
**Fig. 3** Gene expression monitoring to distinguish the outcome of treatment for breast cancer patients

patients during treatment with FEC followed by weekly paclitaxel  $\pm$  trastuzumab in the adjuvant setting. The purpose of this approach was to predict outcomes as well as to study the pharmacodynamic effects of each treatment. Gene expression profiles of peripheral blood mononuclear cells obtained pre- and posttreatment and of tumor biopsy samples obtained pretreatment were determined (Fig. 3). An algorithm to distinguish outcomes using the dataset of these three sampling points was created and expected to be more powerful than conventional outcome assessment techniques.

It seems quite an unusual approach to use normal cells in gene expression profiling in oncology; however, this has proved to be a useful way to monitor drug pharmacodynamic effects and to select biomarkers. Using this approach, we selected biomarkers to capture adverse effects of the treatments. Such “biomarker monitoring” is a rapidly growing field of research.

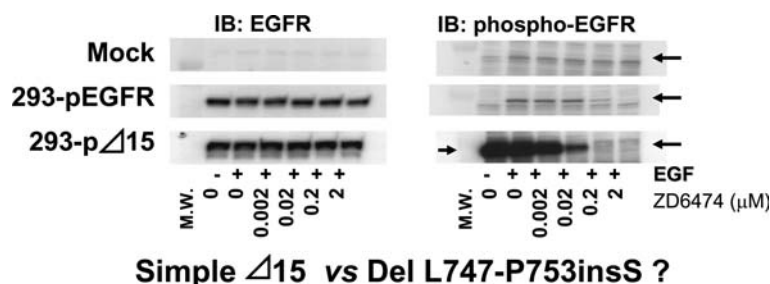
### Biomarker monitoring for tyrosine kinase inhibitors

Recently, EGFR mutation has become an exciting topic in research on TKI [4, 6]. Mutation analysis is now essential for any correlative studies for TKI. Patients with tumors containing the EGFR mutation in different exons are thought to have different responses to TKI. A short, in-frame deletional mutant (E746-A750del) is one of the major mutant forms of EGFR in Japanese populations, and a determinant for EGFR-specific TKI such as gefitinib and ZD6474 (Fig. 4) [1, 8]. We investigated the biological and pharmacological functions of this mutated EGFR to determine whether tumors with deletional-EGFR status are responsive to ligand stimulation, whether mutated EGFR is constitutively active, and whether the downstream intracellular signaling pathway is altered. We concluded that deletional EGFR is constitutively active and that its downstream events are shifted to the AKT pathway (Fig. 5). In addition, a cell-free kinetic assay using mutant EGFR proteins demonstrated differential affinity to TKI among different EGFR mutants. Additional mutations after treatment are also generating interest with regard to their role in acquired resistance to TKI [2]. Thus, the mutation



**Fig. 4** In vitro sensitivity of 293 cells transfected with a deletional epidermal growth factor receptor (*EGFR*) gene (E746-A750) to tyrosine kinase inhibitors (gefitinib and ZD6474) determined by MTT assay. EGFR mutation (E746-A750 type deletion) increases sensitivity to tyrosine kinase inhibitors (gefitinib and ZD6474).

HEK293 cells were transfected with empty vector (293-mock), wild-type EGFR (293 p-EGFR), and deletional EGFR (293-pΔ15). Reprinted with permission of the American Association for Cancer Research Inc., from Arao et al. [1]



**Fig. 5** Constitutive phosphorylation of mutant EGFR. Phosphorylation of EGFR was determined by immunoblotting in 293 cells transfected with Mock, wild-type EGFR, and deletional EGFR cDNA. Increased phosphorylation was observed in the 293-pΔ15

cells under no ligand stimulation. Reprinted with permission of the American Association for Cancer Research Inc., from Arao et al. [1]. (*EGF* epidermal growth factor receptor, *IB* immunoblotting)

status of EGFR is one of the determinants for the prediction of tumor response to EGFR-targeted TKI. On the other hand, the clinical impact of EGFR mutation on survival in patients treated with these TKI remains unclear. Therefore, molecular correlative study including EGFR mutation analysis is quite important for prospective studies. Various technologies for EGFR mutation assay have been developed and some of these assays have been validated in the clinical situation [5]. Gene mutation analysis in prospective studies of TKI using standardized technologies is very important.

### Protein arrays

Proteomics technology has been developed and successfully used to identify biomarkers for target-based drugs in a few clinical studies. Additional approaches such as antibody arrays and “PowerBlots®”, especially those using phospho-specific antibodies, should enable us to perform “kinome” analyses. Hence, these protein analysis technologies are now powerful tools for research on TKI.

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